

§ 171.40

Information Access Programs
Records. STATE-35.

Legal Adviser Attorney Employment
Application Records. STATE-20.

Overseas Records. STATE-25.

Personality Cross-Reference Index to
the Secretariat Automated Data Index
Records. STATE-28.

Records of the Inspector General and
Automated Individual Cross-Reference
System. STATE-53.

Records Maintained by the Office of
Civil Rights. STATE-09

Records of the Office of White House
Liaison. STATE-34.

Risk Analysis and Management
Records. STATE-78.

Rover Records. STATE-41.

Security Records. STATE-36.

Senior Personnel Appointments
Records. STATE-47.

(6) *Exempt under 5 U.S.C. 552(k)(6).*
The reasons for invoking this exemp-
tion are to prevent the compromise of
testing or evaluation material used
solely to determine individual quali-
fications for employment or promotion
and to avoid giving unfair advantage to
individuals by virtue of their having
access to such material.

Foreign Service Institute Records.
STATE-14.

Human Resources Records. STATE-
31.

Information Access Programs
Records. STATE-35.

Records Maintained by the Office of
Civil Rights. STATE-09

Security Records. STATE-36.

(7) *Exempt under 5 U.S.C. 552a(k)(7).*
The reason for invoking this exemption
is to prevent access to material main-
tained from time to time by the De-
partment in connection with various
military personnel exchange programs.

Overseas Records. STATE-25.

Human Resources Records. STATE-
31.

Information Access Programs
Records. STATE-35.

Personality Cross-Reference Index to
the Secretariat Automated Data Index
Records. STATE-28.

Personality Index to the Central For-
eign Policy Records. STATE-29.

[69 FR 63935, Nov. 3, 2004, as amended at 80
FR 1848, Jan. 14, 2015; 81 FR 2989, Jan. 20,
2016; 81 FR 8835, Feb. 23, 2016]

22 CFR Ch. I (4–1–16 Edition)

Subpart E—Ethics in Government Act Provisions

§ 171.40 Purpose and scope.

This subpart sets forth the regula-
tions under which persons may request
access to the public financial disclo-
sure reports of employees of the De-
partment as well as limits to such re-
quests and use of such information.
The Ethics in Government Act 1978, as
amended, and the Office of Government
Ethics implementing regulations, 5
CFR part 2634, require that high-level
Federal officials disclose publicly their
personal financial interests.

§ 171.41 Covered employees.

(a) Officers and employees (including
special Government employees as de-
fined in 18 U.S.C. 202) whose positions
are classified at grades GS-16 and
above of the General Schedule, or the
rate of basic pay for which is fixed,
other than under the General Schedule,
at a rate equal to or greater than the
120% of the minimum rate of basic pay
for GS-15 of the General Schedule;

(b) Officers or employees in any other
positions determined by the Director of
the Office of Government Ethics to be
of equal classification to GS-16;

(c) Employees in the excepted service
in positions that are of a confidential
or policy-making character, unless by
regulation their positions have been
excluded by the Director of the Office
of Government Ethics;

(d) The designated agency official
who acts as the Department's Ethics
Officer;

(e) Incumbent officials holding posi-
tions referred to above if they have
served 61 days or more in the position
during the preceding calendar year.

(f) Officials who have terminated em-
ployment from a position referred to
above and who have not accepted an-
other such position within 30 days of
such termination.

§ 171.42 Requests and identifying in- formation.

Requests for access to public finan-
cial disclosure reports of covered em-
ployees should be made in writing to
the Information and Privacy Coordi-
nator at the address given in § 171.5 set-
ting forth: